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"Express Mail" Label No. : EV 463 357 347 US  
Serial No. : 10/763,068  
Applicant(s) : Peng et al.  
Filing Date : January 22, 2004  
Title: : Monodisperse Core/Shell and Other Complex  
Structured Nanocrystals and Methods of  
Preparing the Same  
Examiner : To Be Assigned  
Group Art Unit : 1774  
Type of Document(s) : Express Mail Certificate;  
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PTO/SB/08a;  
5 patents, 8 articles; and  
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Carol McCabe (signature)  
Carol McCabe

Date Mailed: December 14, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Peng et al.  
Serial No. : 10/763,068  
For : **Monodisperse Core/Shell and Other  
Complex Structured Nanocrystals and  
Methods of Preparing the Same**  
Filed : January 1, 2004  
Examiner : To Be Assigned  
Art Unit : 1774

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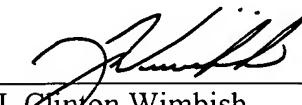
**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants submit herewith on Form SB/08A a listing of documents known to the Applicants and/or their attorney. Applicants respectfully request consideration of the cited documents and making the same of record in the prosecution of the above-identified application. In doing so, Applicants do not waive any rights to appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. Copies of the thirteen (13) documents listed are submitted herewith.

This Information Disclosure Statement is being submitted prior to the mailing of a first Office Action in the present application. As a result, Applicants believe that no fee is currently due. However, should any fees be due, the Commissioner is authorized to charge such fees to Deposit Account No. 16-1435. A duplicate of this sheet is attached for that purpose.

Respectfully submitted,

12/14/04  
Date

  
J. Clinton Wimbish  
Reg. No. 54,545

Kilpatrick Stockton LLP  
1001 West Fourth Street  
Winston-Salem, NC 27101  
(336) 607-7300

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of 2

*Attorney Docket Number*

**Complete if Known**

*Application Number* 10/763,068

*Filing Date* January 22, 2004

*First Named Inventor* Peng et al.

Art Unit 1774

Examiner Name To Be Assigned

Sheet 1 of 2 Attorney Docket Number 40715-296579

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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### Complete if Known

Application Number	10/763,068
Filing Date	January 22, 2004
First Named Inventor	Peng et al.
Art Unit	1774
Examiner Name	To Be Assigned

Attorney Docket Number

40715-296579

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		ALIVISATOS, A.P., "Perspectives on the Physical Chemistry of Semiconductor Nanocrystals," J. Phys. Chem., 1996, Vol. 100, Pages 13226-13239, Column 2, Paragraphs 2-3; Page 13228, Column 2, Paragraph 1; Page 13229, Figure 6; Page 13233, Figure 14; Page 13238, Luminescence.	
		MANNA et al., "Epitaxial Growth and Photochemical Annealing of Graded CdS/ZnS Shells on Colloidal CdSe Nanorods," J. Am. Chem. Soc., 2002, Vol. 124, Pages 7136-7145. Abstract, Page 7138; Results; Page 7143, Figure 7.	
		CHAN et al., "Luminescent quantum dots for multiplexed biological detection and imaging," Current Opinion in Biotechnology, 2002, Vol. 13, Pages 40-46, especially pages 40-41.	
		REISS et al., "Highly Luminescent CdSe/ZnSe Core/Shell Nanocrystals of Low Size Dispersion," Nano Letters, 2002, Vol 2, No. 7, Pages 781-784. Abstract, Page 781, Column 1, Paragraph 1; Page 782, Text, Figure 3.	
		CAO et al., "Synthesis and Characterization of InAs/InP and InAs/CdSe Core/Shell Nanocrystals," Angew. Chem. Int. Ed., 1999, Vol. 38, No. 24, Pages 3692-3694.	
		LEE et al., "Full Color Emission from II-VI Semiconductor Quantum Dot-Polymer Composites," Adv. Mater., 2000, Vol. 12, No. 15, Pages 1102-1105.	
		CUMBERLAND et al., Inorganic Clusters as Single-Source Precursors for Preparation of CdSe, ZnSe and CdSe/ZnS Nanomaterials," Chem. Mater., 2002, Vol. 14, Pages 1576-1584, especially pages 1576-1578.	
		International Search Report dated September 8, 2004, for related PCT application PCT/US04/01895.	

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<b>Remarks</b>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	Kilpatrick Stockton LLP		
Signature			
Printed Name	J. Clinton Wimbish		
Date	12/14/04	Reg. No.	54,545

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